

UNITED STATES BANKRUPTCY COURT  
Southern District Of New York

In re: Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (SCC)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

**Lai Lung Sen**

**The Hongkong and Shanghai Banking  
Corporation Limited**

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Name of Transferor

Name and Address where notices to transferee  
should be sent:

9F-5 NO 16 SEC 5 NANJING EAST ROAD  
SONGSHAN DISTRICT 10597 TAIPEI  
TAIWAN

Court Claim # (if known): [56669]

Amount of Claim: See attached Evidence of Partial  
Transfer of Claim

Phone: +886-9-36197687

Date Claim Filed: 29 October 2009

Phone: +852 3604 7640

Last Four Digits of Acct. #: **6253**

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Please sign  
=>

By: 

Date: 20 August 2021

Transferee/Transferee's Agent

Name: Lai Lung Sen

Title: N/A

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

E2.275174

RESTRICTED

**EVIDENCE OF PARTIAL TRANSFER OF CLAIM**

TO: Clerk, United States Bankruptcy Court, Southern District of New York

**THE HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED** (“**Transferor**”), does hereby certify that, as of 20 August 2021, it has unconditionally and irrevocably transferred and assigned to LAI LUNG SEN (“**Transferee**”), to the extent specified below (the “**Transferred Claim**”), Transferor’s right, title and interest in and to Proof of Claim Number [56669] filed by or on behalf of Transferor against Lehman Brothers Holdings Inc., debtor in Case No. 08-13555 (SCC) in the United States Bankruptcy Court for the Southern District of New York, solely in the following amounts (the “**Claim**”).

<u>ISIN</u>	<u>Issuer</u>	<u>Guarantor</u>	<u>Principal Amount Transferred</u>
XS0327235783	LEHMAN BROTHERS TREASURY CO B.V 1Y CDA STK 1398-5.343 2628-39.00 29% 30OCT2008	LEHMAN BROTHERS HOLDINGS INC	AUD150,000

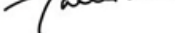
For the avoidance of doubt, no portion of the Claim has been transferred to the Transferee other than the Transferred Claim.

Transferor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing the assignment evidenced by this Evidence of Transfer of Claim as an unconditional assignment and Transferee herein as the valid owner of the Transferred Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, dated as of the 20<sup>th</sup> day of August 2021

**THE HONGKONG AND SHANGHAI  
BANKING CORPORATION LIMITED**

By: For and on behalf of  
The Hongkong and Shanghai Banking Corporation Limited \_\_\_\_\_

Name:  \_\_\_\_\_

Title: Terry Lam \_\_\_\_\_

Tel.: Head of Private Banking Operations  
Private Banking Division \_\_\_\_\_

**Lai Lung Sen**

Please sign  
=>

By:  \_\_\_\_\_

Name: Lai Lung Sen

Title: N/A

Tel.: +886-9-36197687

E2.275192

RESTRICTED